



ADM Investor  
Services, Inc.

29<sup>TH</sup> ANNUAL IB CONFERENCE  
RADISSON BLU AQUA | CHICAGO  
JANUARY 12-14, 2024

EXHIBITORS GUIDE



# MEET OUR 2024 EXHIBITORS

Be sure and stop by our Exhibitors Showcase on both Fri Jan 12 and Sat Jan 13 to see what tools and technologies are available to you and your customers!



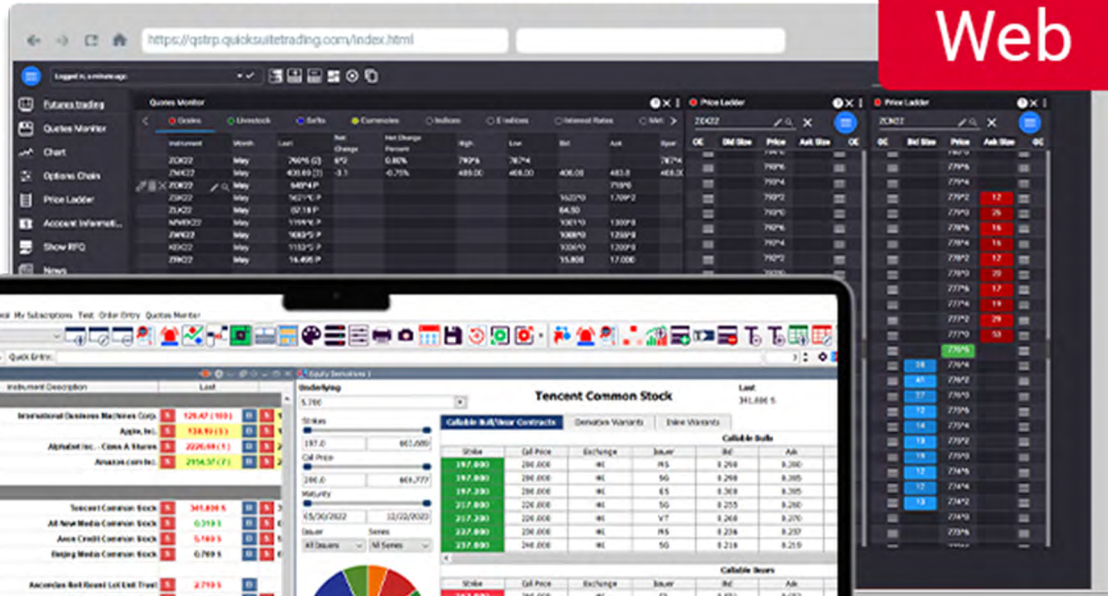
# EXHIBITORS GUIDE

eBooklet sponsored by QST



Web

Mobile



Desktop

# QST/APEX Trading Platform Features

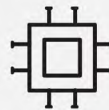
Our priority is to keep you running. At your full potential. At the forefront of a highly competitive industry.



Fast and flexible order entry/management with world-class charting and analytics



Constantly updated and supported



Infrastructure co-located near major exchange-matching engines in secure facilities



Extensive, ever-expanding, and accurate market data coverage and real-time quotes



Paper-trading to practice and learn trading techniques



Support for multiple order types, filtering, and client and server-side contingencies



Systems proactively designed for security



Transfer settings between devices

[www.quicksuitetrading.com](http://www.quicksuitetrading.com)

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analysis on economic  
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# cmdtyView

The only front-end  
you'll ever need

Access and analyze  
the markets,  
manage offers,  
place hedges, issue  
contracts and  
manage customer  
relationships. **All  
from one platform!**



[barchart.com/cmdtyview](http://barchart.com/cmdtyview)

CQG



# One for all. All for traders.

Traders, analysts, brokers and bankers.  
Hitters, takers and candlestick makers.

## Global Connectivity

Access exchanges around the world for both market data and trading. Our ultra-secure, high-speed, consolidated market data feeds deliver real-time and historical data from over seventy-five global sources. Life of contract data included.

## Pro Trading Features

Trade global markets with confidence and efficiency. Set up CQG One's trading interfaces to match your trading style. Our trading interfaces offer features specific to the professional trader's requirements including single-click trading from the price scale, Market-by-Order/Detailed DOM, server-side smart orders, and CQG Algos.

## Pro can mean easy-to-use

You will quickly see how intuitive our platform is to use, configure, and deploy. From user provisioning, to building and sharing portfolios, window linking, and drag and drop tabs. CQG One makes trading workflow comfortable and efficient. Less time in setup, more time in the markets.

## Modern Graphics and Analytics

Charts are a trader's visual portal to the price movements of the markets. CQG's graphics have always set the standard and CQG One continues that tradition. We offer traders a large number of chart types, all with easy-to-use features.

CQG One builds on the success of CQG Desktop. All future advanced charting and analytics, innovative trade routing functionality and more will be available in CQG One.



40

Years in business

85

Market data sources

45

Exchanges for electronic trading

65

Countries with CQG customers

# CQG Algos: Trade Optimally

Ever evolving technological advances in electronic trading present us with unique opportunities and distinct challenges. Capitalize on these and gain better control of your trading strategies with CQG Algos.

Market participants looking to execute large volumes of orders also endeavor to get the best price available in their market. The best price available can be nebulous: Is it attained by aggressively paying up for an immediate fill or by working the order over time? At the risk of the market slipping away, either is an option, but there is a better solution.

The above challenges led to the evolution of a new technology and tools to execute large orders: CQG Algos.

## Browser or Install

Our lightning fast HTML5 means easy access to the markets from anywhere, anytime. Updates with new functionality happens seamlessly over the air in off-trading hours. For institutional customers, a windows installed version will be available for IT compliance.

## Award-Winning Customer Support

Our award-winning customer support teams operate from ten locations across the globe and strive to exceed customer expectations twenty-four hours a day.

Our customer support is backed by product development staff in six countries, our product specialists, and our data quality and operations teams.

## Key Features

### Available now

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- Single-click trade entry on DOMTrader
  - Detailed DOM/Market-by-Order
  - CQG Spreader
  - CQG Algos
- 
- Constant Volume Bars & Candles
  - Point & Figure charts
  - Renko charts
  - Volume Profile study
  - Life-of-contract historical data
  - Modify orders on chart

### Coming soon

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- Live chat with customer support
- Additional advanced chart types and studies
- Price alerts

### Future

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- Desktop Install
- Custom formulas and conditions

## Contact Us

[www.cqg.com](http://www.cqg.com)  
[tellmemore@cqg.com](mailto:tellmemore@cqg.com)

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**Hong Kong** +852 3051-8122  
**Japan** +81 (0) 3-3286-6633  
**Shanghai** +86 021-68591007  
**Singapore** +65 6494-4911  
**UK** +44 (0) 20-7827-9500





## Professional Trading Software for Brokers, CTAs, and Risk Managers

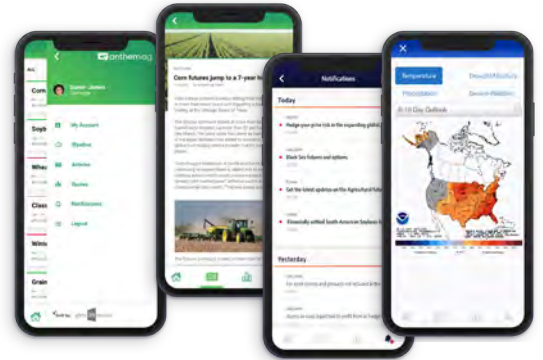
CTS is a fully-hosted, professional trading platform for futures and options that offers direct market access to global exchanges, customizable charting, easy to use mobile apps, powerful options analytics, and open APIs. Our competitive pricing and the flexibility of our add-on enhancements ensure that you only pay for what you need.

- Proprietary auto skew generates option theoretical values and Greeks
- Pre-trade risk management that incorporates real-time portfolio margin
- Scalable option analytics functionality at competitive prices
- Professional charts with custom studies and a forward curve analyzer
- Mobile apps offer trading and charting on the go
- T4 offers MBO and PIQ data for select markets
- Dark mode provides enhanced color schemes and choices
- Customizable workspaces saved to CTS servers for access anywhere

REGISTER FOR A FREE DEMO AT [www.ctsfutures.com](http://www.ctsfutures.com)



# Agriculture Marketing & Technology Specialists



## What's your marketing or technology challenge?

Gate 39 Media provides integrated marketing, technology and CRM services to grow your business. Stop by our booth or visit us online to discuss a custom solution to achieve your goals.

### Marketing

- Marketing strategy
- Inbound marketing
- Campaign creation
- Content management
- Marketing support

### Technology

- Website / Mobile Development
- App / Portal development
- Data management
- API/Systems/Data integration
- Cloud management

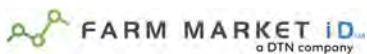
### CRM Consultation

- CRM Builds
- HubSpot & CRM Onboarding
- Marketing, Sales, & Service Process Development
- Lead Funnel Optimization



### Support

- Dedicated marketing and technology support team
- On-demand support and service
- Ongoing support availability
- Response time Service Level Agreement



# E x p a n d

the possibilities

**We're rebranding ION's Cleared Derivatives product suite.**

**Fidessa GTP is now XTP Execution.**

---

A complete solution for cleared derivatives order management and execution.

To learn more about XTP Execution, scan the QR code:





## Hard Red Spring Wheat (HRSW)

MGEX remains the primary venue for trading Hard Red Spring Wheat (HRSW) since 1881 among millers, exporters, elevators, and farmers. Providing futures and options contracts on this unique commodity in a stable and reliable trading environment.

Discover more at [miaxglobal.com](https://miaxglobal.com)

Miami International Holdings, Inc. (MIH) owns Miami International Securities Exchange (MIAX®), MIAX Pearl®, MIAX Emerald®, MIAX Pearl Equities™, Minneapolis Grain Exchange (MGEX™), LedgerX LLC (LedgerX), The Bermuda Stock Exchange (BSX), and Dorman Trading, LLC (Dorman Trading).

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MIAX Exchange Group



# Compliance Supervisors International, Inc.

16 Broad Street, Suite 102, Keyport, NJ 07735

Tel: 732-335-5740 E-Mail: [info@compliancesupervisors.com](mailto:info@compliancesupervisors.com)

Robert DeMuria

Joseph Mazza

FINANCIAL AND COMPLIANCE SERVICES TAILORED TO YOUR NEEDS

Please visit our website for more information:  
[www.compliancesupervisors.com](http://www.compliancesupervisors.com)

## COMPLIANCE SERVICES

- Registration
- Policies and Procedures
- On-Site Inspections
- Disclosure Documents
- Promotional Material Review
- AML Audits
- FCM Risk Audits
- Cybersecurity Reviews

## FINANCIAL SERVICES

- Fund Administration
- CTA Performance Reporting
- Monthly IB Financial Accounting
- Regulatory Filings
- Fund Tax Returns

## REGULATORY TRAINING

Our training website is designed to help you manage your firms training requirements, including important reminders. Your firm can administer training and monitor due dates. Modules include:

- Anti-Money Laundering
- Ethics
- Cybersecurity
- Customer Funds Protection
- Identity Theft



**“IF YOU THINK COMPLIANCE IS EXPENSIVE,  
TRY NON-COMPLIANCE”**

Paul McNulty, former U.S. Attorney General

As the futures markets evolve, an effective compliance program should do the same. New rules and regulations are established for good reason...to protect the integrity of the markets and to protect the customers you serve. Your regulators aim to safeguard the integrity of the derivatives markets, protect investors, and ensure each member in the industry meets their regulatory responsibilities. Your approach to compliance should be treated with the same level of detail and respect you give to other parts of your business.

Robert DeMuria and Joseph Mazza have over 35 years of experience in the futures industry. Both entered the industry where it counts the most...as compliance professionals with National Futures Association. CSI and its dedicated staff have been providing the futures industry with quality service since 1994.

# Grow Your Business with IRA Investors

## Benefits of marketing to IRA investors:

- Close to \$13 trillion dollars<sup>1</sup> in IRA accounts with the average traditional IRA account value slightly more than \$100,000<sup>2</sup>.
- Most investors are misinformed and don't know they can use IRAs to invest in futures and forex.
- IRAs are an additional sources of funds for investors.



## Key Benefits for the IRA Investor

### TAX ADVANTAGES

Traditional, Roth, SEP, SIMPLE, and Solo 401(k) plans allow individuals to save for retirement tax-free or tax-deferred. Depending on eligibility and the type of IRA, the IRA owner may also receive a tax deduction for IRA contributions.

### INVESTMENT OPTIONS

Equity Trust Company will hold assets that a traditional custodian won't such as futures or forex trading accounts which have a flat annual asset fee.

### TRUE DIVERSIFICATION

Our clients have the freedom to choose investments they know and understand to improve diversification in retirement accounts.

<sup>1</sup> Quarterly Retirement Market Data. (2023, September 14). Retrieved from [https://www.ici.org/statistical-report/re\\_23\\_q2](https://www.ici.org/statistical-report/re_23_q2)

<sup>2</sup> Fidelity Q2 2023 Retirement Analysis. (2023, August 17). Retrieved from <https://www.newsroom.fidelity.com/pressreleases/fidelity-q2-2023-retirement-analysis>

## Key Questions to Ask Your Investor

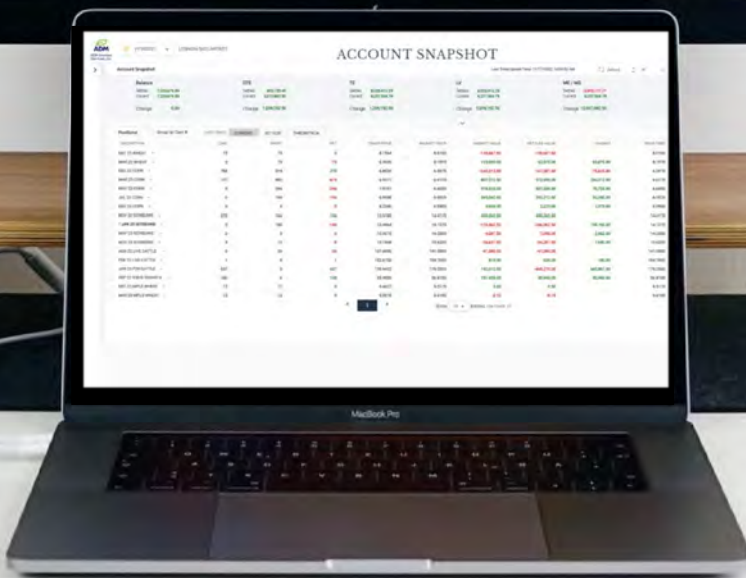
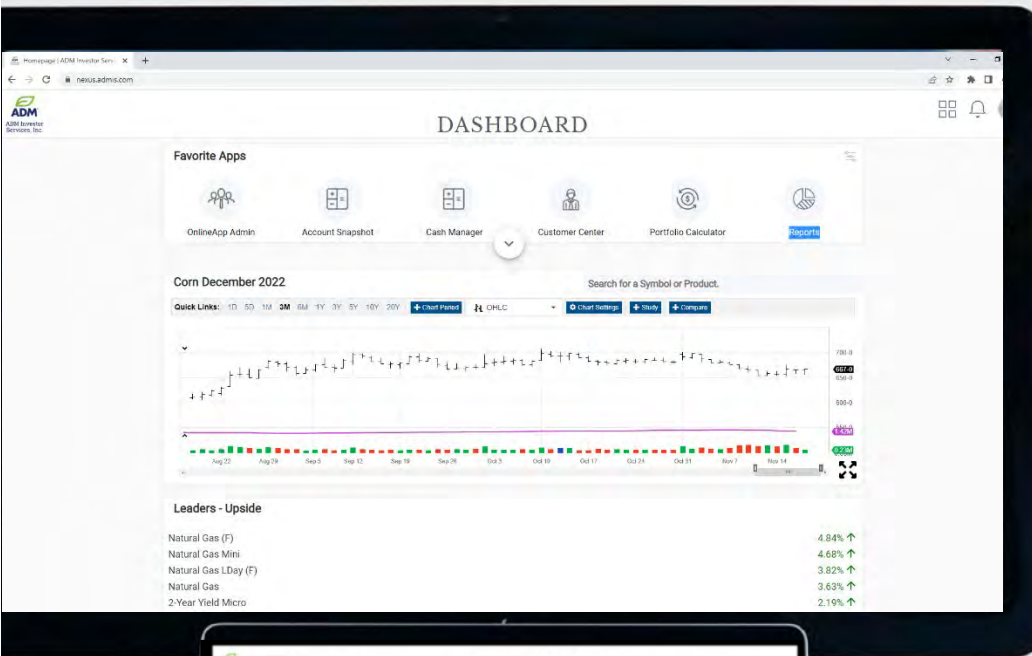
- Do you have an IRA or old 401(k)?
- Did you know you can trade futures with an IRA?
- Did you know you can start an IRA today and trade futures?

## Process to Open an Account

1. Client completes the Equity application online.
2. Client completes a brokerage application and you forward to Equity.
3. Once Equity receives funds by IRA transfer, rollover, or contribution, we have funds wired out the next business day.



Equity Institutional Services provides dedicated support and administrative solutions for institutional clients of Equity Trust Company. Equity Trust Company is a directed custodian and does not provide tax, legal, or investment advice. Any information communicated by Equity Trust Company is for educational purposes only, and should not be construed as tax, legal, or investment advice. Whenever making an investment decision, please consult with your tax attorney or financial professional.



## *Nexus Offerings*

View account positions, money values and statements

Retrieve and download reports

Access anywhere with enhanced mobile features



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# COMPLIANCE

## Regulatory Hot Topics

- Business Communications
- Remote Work Locations
- Account Changes & Errors
- Wash Trades
- Disruptive Trading Practices
- EFRPs

*Visit with our booth staff!*

Representatives will be onsite and happy to answer your Compliance-related questions.

# NEW ACCOUNTS

*Make the switch from paper applications to the Online Account Application!*

The Online Customer Account Application allows you to take full advantage of the efficiencies inherent in opening an account online while staying secure.



Email a link to your customer



Follow your customer's progress online



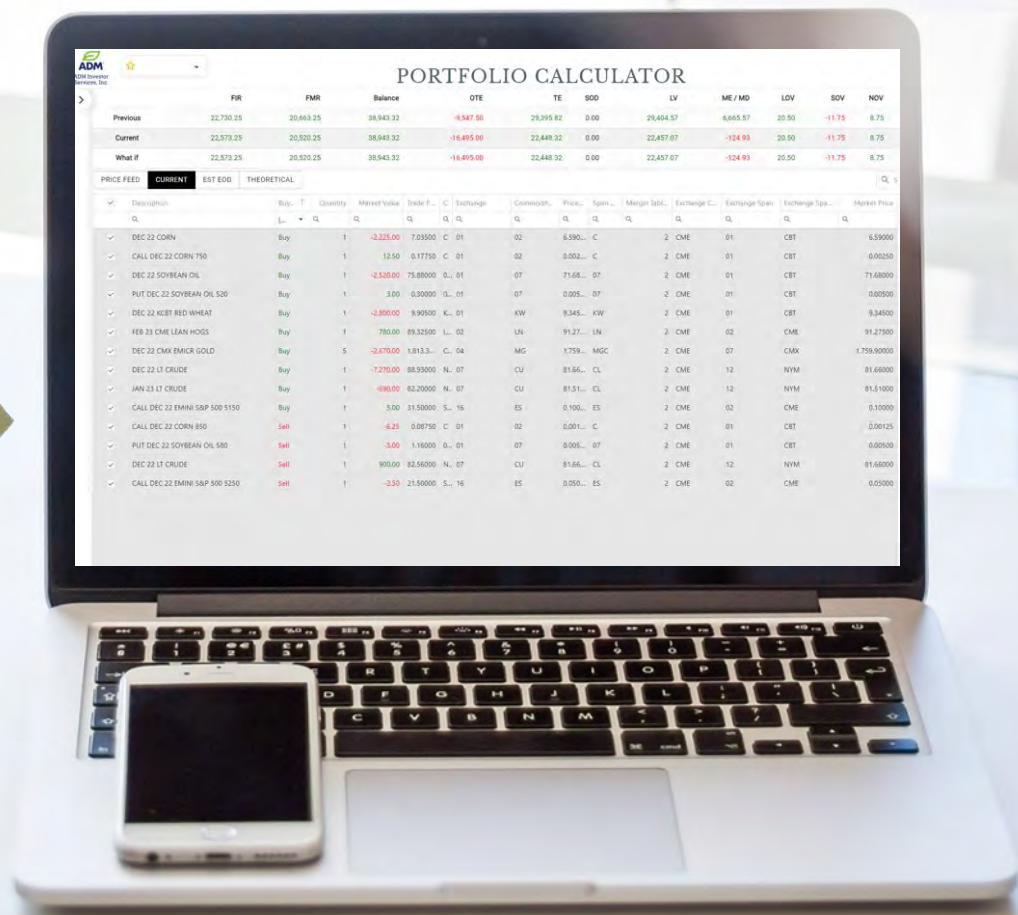
Click to approve



## *Come see us!*

Stop by the New Accounts booth to see Kathy Howe-Burket and Mildred Peoples to learn more about the Online Account Application.





## VaR

2 year historical constant maturity VaR, including confidence levels of 95% and 99% at 1-day, 5-day and 20-day intervals.

## Portfolio Calculator

What if, intraday or end-of-day margin analysis

## Portfolio Calculator

What if, intraday or end-of-day margin analysis

## P&L by Commodity

Assist hedge and speculative clients manage their trading

SPAN2 or IRM2 questions on your mind? Visit the Risk booth to query your favorite risk analyst on these hot industry topics. Dave Glancy, Greg Gulotta, Shane Rische, Gabe Muhammad, Pete Stickney, Claire Yang and Dev Bhandari are available to answer your questions. Email [Risk@ADM.com](mailto:Risk@ADM.com) for more info!



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# TREASURY

Are your customers using  
the Mobile Deposit App?

## What's happening at the ADMIS Treasury booth?

- Learn about different NEXUS reports such as Bank Instruction Report and Cash Transaction Report.
- Ask about the new customer bank instruction module scheduled for release in 2024.
- We welcome your feedback on any of the Cash Manager functions.

## Visit with our team!

**Pete Michuda**, VP & Treasurer  
**Clayton Burket**, Treasury Analyst  
**Anna Faras**, Treasury Operations Specialist  
**Scott Ketelboeter**, Treasury & FX Analyst  
**Kyle Millburg**, Credit & Treasury Analyst  
**Krupa Patel**, Treasury Analyst  
**Patrick Prosi**, Treasury Manager  
**Ann Zhu**, Foreign Currency Specialist

2024 EXHIBITOR BOOKLET