

MEET OUR 2026 EXHIBITORS

Be sure and stop by the Exhibitors Showcase
on Sat Jan 17 to see new
tools and technologies available
for you and your customers!



ADM Investor
Services, Inc.

barchart



Broadridge®



TRADING
TECHNOLOGIES

Gradable®



CME Group

miax®



EQUITY
INSTITUTIONAL SERVICES™

FOCUS
ON THE
FUTURE 2026

EXHIBITORS GUIDE

eBooklet sponsored by





Web

Mobile



Desktop

QST/APEX Trading Platform Features

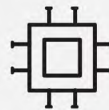
Our priority is to keep you running.
At your full potential. At the forefront of a highly competitive industry.



Fast and flexible order entry/management with world-class charting and analytics



Constantly updated and supported



Infrastructure co-located near major exchange-matching engines in secure facilities



Extensive, ever-expanding, and accurate market data coverage and real-time quotes



Paper-trading to practice and learn trading techniques



Support for multiple order types, filtering, and client and server-side contingencies



Systems proactively designed for security



Transfer settings between devices

www.quicksuitetrading.com

Minneapolis Hard Red Spring Wheat

The Premier Futures and Options Wheat Contract

Hard Red Spring Wheat (HRSW), known for its high protein content and gluten strength, dominates North American wheat production, trade and exports.

Ticker: MWE

**Learn more about Minneapolis HRSW
at miaxglobal.com/futures**

MIAX Futures Onyx Trading Platform
Now Live

U.S. and Canadian
Wheat Production by Class,
2021-23 Average

43%
Hard Red Spring

25%
Hard Red Winter

15%
Soft Red Winter

9%
White Wheat

8%
Durum

MIAX Futures is a registered exchange with the Commodity Futures Trading Commission (CFTC) and offers trading in a variety of products including Hard Red Spring Wheat Futures. MIAX Futures is a Designated Contract Market (DCM) and Derivatives Clearing Organization (DCO) under the CFTC, providing DCM and DCO services in an array of asset classes.

Source: United States Department of Agriculture,
Statistics Canada, October 2023

Connect with MIAX



OPTIMIZE YOUR TRADING WITH THE TT[®] PLATFORM

The ultimate multi-asset, multi-function,
multi-workflow platform for the global
capital markets

Utilize our market-leading solutions to manage the full trade life cycle across futures and options, fixed income, foreign exchange (FX) and cryptocurrencies – with more to come.

- ◆ Execute on the fastest commercially available platform, with multiple ways to access and colocation at every major exchange
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Membership Tools**



Grain Tracker

New!



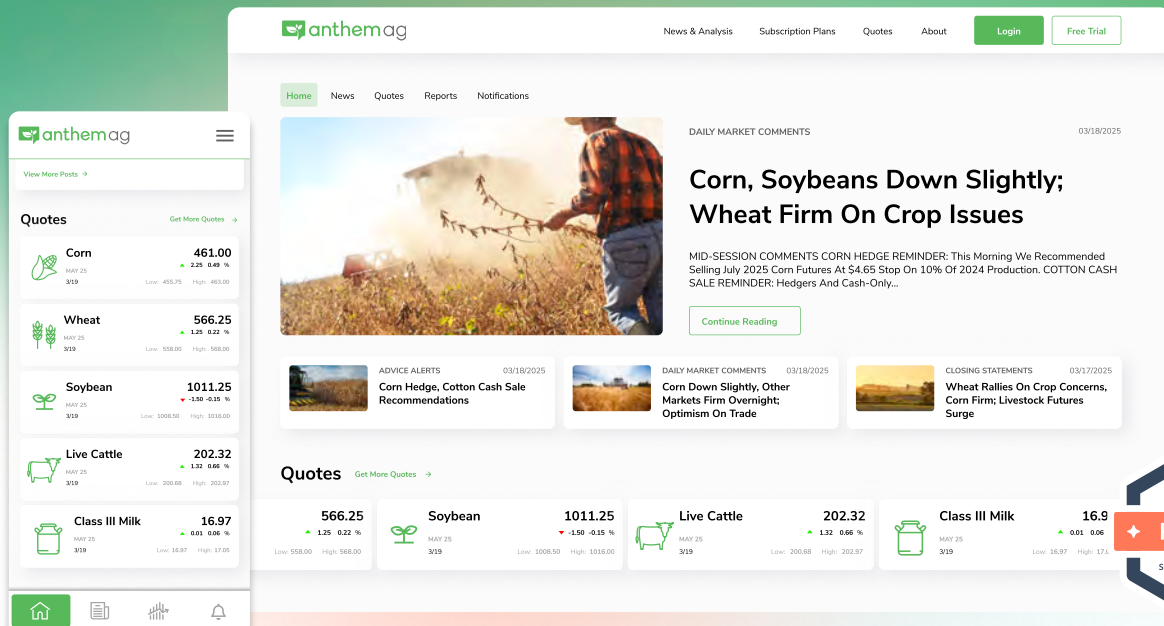
**Mobile App &
Notifications**



**Market Data &
Reports**



**CRM & System
Integrations**



Go Beyond the Bushel with Anthem Ag

Anthem Ag is your website solution. With tools designed to connect, inform, and grow relationships with producers, talk to us about how an Anthem Ag website can help your business.



gate39.com/anthem-ag



NFA is the industrywide, self-regulatory organization for the U.S. derivatives industry.

Have questions?

Stop by our booth to chat with staff from our Member Oversight Department:

- Kevin Ortega, Supervisor, Examinations
- Conor Coyne, Supervisor, Investigations

If you have questions following the conclusion of the conference, please scan the QR code to obtain contact details for NFA's Information Center.



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Joseph Mazza

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- Cybersecurity Reviews

FINANCIAL SERVICES

- Fund Administration
- CTA Performance Reporting
- Monthly IB Financial Accounting
- Regulatory Filings
- Fund Tax Returns

REGULATORY TRAINING

Our training website is designed to help you manage your firms training requirements, including important reminders. Your firm can administer training and monitor due dates. Modules include:

- Anti-Money Laundering
- Ethics
- Cybersecurity
- Customer Funds Protection
- Identity Theft



**"IF YOU THINK COMPLIANCE IS EXPENSIVE,
TRY NON-COMPLIANCE"**

Paul McNulty, former U.S. Attorney General

As the futures markets evolve, an effective compliance program should do the same. New rules and regulations are established for good reason...to protect the integrity of the markets and to protect the customers you serve. Your regulators aim to safeguard the integrity of the derivatives markets, protect investors, and ensure each member in the industry meets their regulatory responsibilities. Your approach to compliance should be treated with the same level of detail and respect you give to other parts of your business.

Robert DeMuria and Joseph Mazza have over 35 years of experience in the futures industry. Both entered the industry where it counts the most...as compliance professionals with National Futures Association. CSI and its dedicated staff have been providing the futures industry with quality service since 1994.

Regulatory Hot Topics

- Business Communications
- Remote Work Locations
- Account Changes & Errors
- Wash Trades
- Disruptive Trading Practices
- EFRPs

2026 Onsite Annual Audits

Visit with our booth staff!

Greg Hostetler, Cheryl Fitzpatrick, Ann Chalmers and Jennifer Good will be onsite and happy to answer your Compliance-related questions.

NEW ACCOUNTS

Make the switch from paper applications to the NEW Online Account Application!

The Online Customer Account Application allows you to take full advantage of the efficiencies inherent in opening an account online while staying secure.



Email a link to your customer



Follow your customer's progress online



Click to approve



Come see us!

Stop by the New Accounts booth to see Mildred Peoples and Jennifer Salcedo to learn more about the *new* Online Account Application.



Email us at Risk@adm.com for more info!

VaR

- 2 year historical constant maturity VaR, including confidence levels of 95% and 99% at 1-day, 5-day and 20-day intervals.

Portfolio Calculator

- What if, intraday or end-of-day margin analysis

Balance Summary

- Real-time tool providing comprehensive equity detail for offices, sales codes and individual accounts

P&L by Commodity

- Assist hedge and speculative clients manage their trading





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TREASURY

What's happening at the ADMIS Treasury booth?

- Are your customers using the Mobile Deposit App?
- Learn about different NEXUS reports such as Cash Transaction History and Bank Instruction Report.

Visit with our team!

Pete Michuda, VP & Treasurer

Patrick Prosi, Treasury Manager

Umang Bhatia, Sr Credit & Treasury Analyst