

RTS 28 Top 5 Brokers Report - Professional Clients

Class of Instrument	(a) Equities - Shares and Depository Receipts: (i) Tick size liquidity bands 5 and 6					
Notification if < 1 average trade per business day in the previous year	N					
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders	
Société Générale UK Limited 0IKLU6X1B10WK7X42C15	53.56	23.15				
Morgan Stanley 4PQUHN3JPF GFNF3BB653	28.25	64.28				
Kepler 9695005EOZG9X8IRJD84	10.91	9.90				
ING BANK N.V. 3TK20IVIUJ8J3ZU0QE75	7.28	2.67				

Class of Instrument	(a) Equities - Shares and Depository Receipts: (ii) Tick size liquidity bands 3 and 4					
Notification if < 1 average trade per business day in the previous year	N					
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders	
ING BANK N.V. 3TK20IVIUJ8J3ZU0QE75	36.80	21.35				
Kepler 9695005EOZG9X8IRJD84	30.92	22.95				
Société Générale UK Limited 0IKLU6X1B10WK7X42C15	23.03	28.55				
Morgan Stanley 4PQUHN3JPF GFNF3BB653	9.26	27.15				

Class of Instrument	(a) Equities - Shares and Depositary Receipts: (iii) Tick size liquidity bands 1 and 2					
Notification if < 1 average trade per business day in the previous year	N					
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders	
Morgan Stanley 4PQUHN3JPF GFNF3BB653	45.19	83.30				
ING BANK N.V. 3TK20IVIUJ8J3ZU0QE75	34.16	11.75				
Société Générale UK Limited 0IKLU6X1B10WK7X42C15	14.08	1.06				
Kepler 9695005EOZG9X8IRJD84	6.56	3.88				

Class of Instrument	(k) Exchange Traded Products (Exchange Traded Funds, Exchange Traded Notes and Exchange Traded Commodities)					
Notification if < 1 average trade per business day in the previous year	N					
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders	
Morgan Stanley 4PQUHN3JPFGFNF3BB653	55.43	55.65				
Société Générale UK Limited 0IKLU6X1B10WK7X42C15	27.25	8.26				
Kepler 9695005EOZG9X8IRJD84	15.47	18.83				
ING BANK N.V. 3TK20IVIUJ8J3ZU0QE75	1.85	17.27				

Class of Instrument	(m) Other Instruments					
Notification if < 1 average trade per business day in the previous year	N					
Top five execution venues ranked in terms of trading volumes (descending order)		Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Morgan Stanley 4PQUHN3JPF GFNF3BB653	59.52	42.86				
Kepler 9695005EOZG9X8IRJD84	35.01	42.86				
Société Générale UK Limited 0IKLU6X1B10WK7X42C15	5.46	14.29				