

# RTS 28 Top 5 Brokers Report - Retail Clients

Class of Instrument	(a) Equities - Shares and Depository Receipts: (i) Tick size liquidity bands 5 and 6					
Notification if < 1 average trade per business day in the previous year	N					
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders	
Société Générale UK Limited 0IKLU6X1B10WK7X42C15	69.18	47.35				
Kepler 9695005EOZG9X8IRJD84	20.76	24.65				
ING BANK N.V. 3TK20IVIUJ8J3ZU0QE75	8.36	25.06				
Morgan Stanley 4PQUHN3JPF6FNF3BB653	1.70	2.94				

Class of Instrument	(a) Equities - Shares and Depository Receipts: (ii) Tick size liquidity bands 3 and 4					
Notification if < 1 average trade per business day in the previous year	N					
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders	
ING BANK N.V. 3TK20IVIUJ8J3ZU0QE75	61.57	48.53				
Société Générale UK Limited 0IKLU6X1B10WK7X42C15	26.03	23.33				
Kepler 9695005EOZG9X8IRJD84	8.98	13.95				
Morgan Stanley 4PQUHN3JPF GFNF3BB653	3.43	14.20				

Class of Instrument	(a) Equities - Shares and Depositary Receipts: (iii) Tick size liquidity bands 1 and 2					
Notification if < 1 average trade per business day in the previous year	N					
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders	
ING BANK N.V. 3TK20IVIUJ8J3ZU0QE75	70.46	57.74				
Morgan Stanley 4PQUHN3JPF GFNF3BB653	20.19	26.82				
Société Générale UK Limited 0IKLU6X1B10WK7X42C15	5.65	4.13				
Kepler 9695005EOZG9X8IRJD84	3.70	11.31				

Class of Instrument	(k) Exchange Traded Products (Exchange Traded Funds, Exchange Traded Notes and Exchange Traded Commodities)					
Notification if < 1 average trade per business day in the previous year	N					
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders	
ING BANK N.V. 3TK20IVIUJ8J3ZU0QE75	57.32	88.18				
Société Générale UK Limited 0IKLU6X1B10WK7X42C15	33.55	2.29				
Kepler 9695005EOZG9X8IRJD84	6.20	7.52				
Morgan Stanley 4PQUHN3JPF GFNF3BB653	2.94	2.02				

Class of Instrument	(m) Other Instruments					
Notification if < 1 average trade per business day in the previous year	N					
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders	
Société Générale UK Limited 0IKLU6X1B10WK7X42C15	100.00	100.00				