

RTS 28 Top 5 Brokers Report - Professional Clients

Class of Instrument Notification if < 1 average trade per business day in the previous year	(a) Equities - Shares and Depository Receipts: Tick size liquidity bands 1 and 2				
	N				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Société Générale UK Limited (0IKLU6X1B10WK7X42C15)	83.16	82.68	37.40	53.82	100.00
Morgan Stanley (4PQUHN3JPFGFNF3BB653)	16.83	16.92	50.13	40.25	100.00
Kepler (9695005EOZG9X8IRJD84)	0.02	0.4			100.00

Class of Instrument	(a) Equities - Shares and Depositary Receipts: Tick size liquidity bands 3 and 4				
Notification if < 1 average trade per business day in the previous year	N				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Société Générale UK Limited (0IKLU6X1B10WK7X42C15)	86.07	91.93	33.14	62.60	100.00
Morgan Stanley (4PQUHN3JPFGFNF3BB653)	13.93	8.07	32.86	57.40	100.00

Class of Instrument	(a) Equities - Shares and Depositary Receipts: Tick size liquidity bands 5 and 6				
Notification if < 1 average trade per business day in the previous year	N				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Société Générale UK Limited (0IKLU6X1B10WK7X42C15)	86.56	70.11	41.49	51.19	100.00
Morgan Stanley (4PQUHN3JPFGFNF3BB653)	13.08	29.75	55.62	36.09	100.00
Kepler (9695005EOZG9X8IRJD84)	0.36	0.14			100.00

Class of Instrument	(b) Debt instruments (i) Bonds				
Notification if < 1 average trade per business day in the previous year	N				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
JP MORGAN SECURITIES PLC (K6Q0W1PS1L1O4IQL9C32)	22.82	18.44	0.00	100.00	0.00
Morgan Stanley (4PQUHN3JPF GFNF3BB653)	15.73	16.45	0.00	100.00	0.00
RBS FINANCIAL MARKETS (RR3QWICWWIPCS8A4S074)	12.98	2.33	0.00	100.00	0.00
HSBC BANK PLC (MP6I5ZYZBEU3UXPYFY54)	10.11	7.97	0.00	100.00	0.00
THE TORONTO DOMINION BANK (PT3QB789TSUIDF371261)	8.43	3.65	0.00	100.00	0.00

Class of Instrument	(c) Interest rates derivatives (i) Futures and options admitted to trading on a trading venue				
Notification if < 1 average trade per business day in the previous year	N				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
ADM Investor Services (I9O8MELCUVOTLJABOX92)	99.56	99.33			100.00
Société Générale UK Limited (0IKLU6X1B10WK7X42C15)	0.44	0.67			100.00

Class of Instrument	(e) Currency derivatives (i) Futures and options admitted to trading on a trading venue				
Notification if < 1 average trade per business day in the previous year	N				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
ADM Investor Services (I9O8MELCUVOTLJABOX92)	100	100			100.00

Class of Instrument	(g) Equity Derivatives (i) Options and Futures admitted to trading on a trading venue				
Notification if < 1 average trade per business day in the previous year	N				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
ADM Investor Services (I9O8MELCUVOTLJABOX92)	51.11	89.3			100.00
Société Générale UK Limited (0IKLU6X1B10WK7X42C15)	48.89	10.7			100.00

Class of Instrument	(i) Commodities derivatives and emission allowances Derivatives (i) Options and Futures admitted to trading on a trading venue				
Notification if < 1 average trade per business day in the previous year	N				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
ADM Investor Services (I9O8MELCUVOTLJABOX92)	99.29	99.55			100.00
Société Générale UK Limited (0IKLU6X1B10WK7X42C15)	0.71	0.45			100.00

Class of Instrument	(j) Contracts for difference				
Notification if < 1 average trade per business day in the previous year	N				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Société Générale UK Limited (0IKLU6X1B10WK7X42C15)	89.83	36.32			100.00
Morgan Stanley (4PQUHN3JPFGFNF3BB653)	10.16	63.68			100.00

Class of Instrument	(k) Exchange Traded Products (Exchange Traded Funds, Exchange Traded Notes and Exchange Traded Commodities)				
Notification if < 1 average trade per business day in the previous year	N				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Société Générale UK Limited (0IKLU6X1B10WK7X42C15)	91.53	89.15	43.54	45.32	100.00
Morgan Stanley (4PQUHN3JPFGFNF3BB653)	8.47	10.85	30.62	63.25	100.00